In re Application of: Youmin Shu et al.

Serial No.: 09/971,708

Group Art Unit: 1646

Filed: October 9, 2001

Examiner: Janet L. Andres

For: Human EphA6 Gene And Polypeptide

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is made in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98 as follows:

Timing and Fees

		F.R. § 1.97(b), no fee or statement is required for filing this information attement is filed:					
		n three months of the filing date of a national application other than a CPA § 1.53(d);					
		within three months of the actual filing date of the national phase of a PCT application; OR					
	before RCE)	e the mailing of a first substantive office action (including after filing of an					
\boxtimes		C.R. § 1.97(c), this information disclosure statement is filed after the periods of C.F.R. § 1.97(b), but before the mailing date of:					
		a final rejection under 37 C.F.R. 1.113;					
		termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR					
	\boxtimes	a notice of allowance under 37 C.F.R. § 1.311; and					

		is acco	ompanied by:
			the statement as specified in 37 C.F.R. § 1.97(e) set out below; OR
		\boxtimes	a check covering the fee of \$180.00 under 37 C.F.R. § 1.17(p).
			R. § 1.97(d), this information disclosure statement is filed after the mailing lowing actions which have not been withdrawn:
			a final action under 37 C.F.R. § 1.113;
			termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR
			a notice of allowance under 37 C.F.R. § 1.311;
	AND:	is filed o	on or before payment of the issue fee; AND is accompanied by:
			the statement as specified in 37 C.F.R. § 1.97(e) as set forth below, and the fee of \$180.00 under 37 C.F.R. § 1.17(p).
Staten	nents U	nder 37	C.F.R. 1.97(e)
			Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application having a mailing date not more than three months prior to the filing date of this information disclosure statement; or
			No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the information disclosure statement.
Cited	Materia	<u>ls</u>	
		ancesto	of materials listed but not attached were cited in benefit (35 U.S.C. § 120) or application Serial No, on Form 892 by the Examiner and/or Form by the applicant; see 37 C.F.R. § 1.98(d).
		_	s of materials listed but not attached were cited in an international search dated
		Copies	s of the materials listed are attached (except for the foregoing).

Non-Ei	nglish I	Language References					
		An English-language search report or equivalent paper from a foreign patent office is provided indicating the relevance of the cited reference(s).					
		A foreign-language search report from a foreign patent office is provided, and pertinent parts are translated substantively below:					
	•	 X = document of particular relevance when it is taken alone Y = document of particular relevance when it is combined with another such document 					
		A = document defining the general state of the art					
		O = non-written disclosure P = intercalated document					
		T = document cited to understand the theory or principle underlying the invention					
		E = patent document which has the benefit of a date earlier than the filing date and which was published only on or after this filing date					
		D = cited in the application					
		L = cited for another reason					
		& = publication of member of same patent family					
		Translation of other relevant information on foreign search report					
		[insert necessary translation here]					
Other I	nforma	<u>tion</u>					
Payme <u>r</u>	nt of Fe	es Due (If Any):					
\boxtimes	A chec	k for \$180.00 covering the fee identified above is attached.					
	Please	charge to Deposit Account No. 13-3402 \$ for the fee identified above.					

The Commissioner is hereby authorized to charge or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

Richard M. Lebovitz, Reg. No. 37,067 Attorney/Agent for Applicants

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Attorney Docket No.: ORIGEN-0016

Date: September 14, 2004

RML:jmj

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known					
Application Number	09/971,708				
Filing Date	October 9, 2001				
First Named Inventor	Youmin Shu et al.				
Group Art Unit	1646				
Examiner Name	Janet L. Andres				
Attorney Docket Number	ORIGEN-0016				

U.S. PATENT DOCUMENTS								
Examiner Initials *	Cite No.1	U.S. Patent Docu	rment Kind Code ² (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY			
		5,843,749		Maisonpierre et al.	12-1-1998			
		6,586,230	B1	Hu et al.	7-1-2003			
		6,630,334	B1	Guegler et al.	10-7-2003			
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	FOREIGN PATENT DOCUMENTS								
		Foreign Patent Document				Date of Publication of	Pages, Columns, Lines, Where Relevant		
Examiner Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶	
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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. Number refers to English language corresponding family member.